

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/823.815

03/30/2001

John D. Porter

CT-F139 US

CONFIRMATION NO. 6595

FORMALITIES LETTER

TO 8 R R N 8 H H M M M B 8 F F OC000000006146567

SKJERVEN MORRILL MACPHERSON LLP 25 Metro Drive, Suite 700

San Jose, CA 95110

Date Mailed: 06/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

n application number and filing date have been accorded to this application. The item(s) indicated below. wever, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all quired items and pay any fees required below to avoid abandonment. Extensions of time may be obtained filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

iner Service Center

Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

John D. Porter; Roger A. Pearson; Kazuo Kajiwara; Haruo Kato;

Lawrence S. Pan; Shiyou Pei; Theodore S. Fahlen

Assignees: Candescent Technologies Corporation: Candescent Intellectual

Property Services, Inc.; and Sony Corporation

Title: Structure And Fabrication Of Light-Emitting Device Having Partially

Coated Light-Emissive Particles

Serial No.:

09/823,815

Filing Date:

March 30, 2001

Examiner:

Unassigned

Group Art Unit:

Unassigned

Docket No.:

CT-F139 US

San Jose, California October 23, 2001

BOX MISSING PARTS COMMISSIONER FOR PATENTS Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION - FILING DATE GRANTED

Dear Sir:

In response to the "Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on June 5, 2001, the following documents are enclosed to complete the filing of the above-identified patent application:

- 1. A declaration signed by the inventors in counterpart, in compliance with 37 CFR 1.63.
 - 2. Petition for Extension of Time; and
- 3. Copy of Notice to File Missing Parts of Non-Provisional Application Filing Date Granted.

TAW OFFICES OF SKJERVEN MORRILL MacPHERSON LLP

SCAR TRO DRIVE SCHEETW SAN HOSE CALCASI POLICE CONTROL FAX 1000 455 557 The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

| 1. | Surcharge for filing declaration on a date later than the filing date of the application. | \$130.00 |
|----|---|----------|
| 2. | Petition for Extension of Time | 920.00 |
| 3. | TOTAL FEES: | 1 050 00 |

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of March 30, 2001. Please telephone the undersigned at (408) 453-9200, ext. 1371, if there are any questions. This form is being submitted in duplicate.

EXPRESS MAIL LABEL NO:

EL 901 567 415 US

Respectfully submitted,

Ronald J. Meetin

Attorney for Applicants

Correct) Mic Tim

Reg. No. 29,089

LAW OFFICES OF SKJERVES MORRILL MacPHERSON LLY

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